### PA NT COOPERATION TREAT

## From the INTERNATIONAL BUREAU

#### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

Date of mailing (day/month/year)

21 November 2000 (21.11.00)

| Commissioner     |          |
|------------------|----------|
|                  |          |
| US Department of | Commerce |
|                  |          |

United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24

Arlington, VA 22202 ETATS-UNIS D'AMERIQUE

NIS D'AMERIQUE in its capacity as elected Office

| International application No. PCT/US00/08669                        | Applicant's or agent's file reference<br>16303-76-2PC   |  |
|---|---|--|
| International filing date (day/month/year) 31 March 2000 (31.03.00) | Priority date (day/month/year) 01 April 1999 (01.04.99) |  |
| Applicant   |   |  |
| SARRIS, Andreas, H. et al   |   |  |

| 1. | The designated Office is hereby notified of its election made:  |
|----|---|
|    | X in the demand filed with the International Preliminary Examining Authority on:  |
|    | 30 October 2000 (30.10.00)  |
|    | in a notice effecting later election filed with the International Bureau on:  |
|    |   |
| 2. | The election X was  |
|    | was not   |
|    | made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b). |
|    |   |
|    |   |
|    |   |
|    |   |
|    |   |
|    |   |
|    |   |

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Diana Nissen

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K9/127 A61P35/00 A61K31/475

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

 $\begin{array}{ll} \text{Minimum documentation searched (classification system followed by classification symbols)} \\ IPC 7 & A61K \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages                          | Relevant to claim No.              |
|------------|---|------------------------------------|
| X          | US 5 741 516 A (WEBB MURRAY S ET AL) 21 April 1998 (1998-04-21) cited in the application the whole document | 1-68,<br>70-77                     |
| X          | US 5 595 756 A (BALLY MARCEL B ET AL)<br>21 January 1997 (1997-01-21)                                       | 1-8,<br>11-40,<br>43-62,<br>64-68, |
|            | column 3, line 55 -column 5, line 40 column 7, line 40 -column 8, line 22; example 1 claims 1-10            | 70-74                              |
|            | -/  |                                    |

| Further documents are listed in the continuation of box C.  | Patent family members are listed in annex.  |  |  |  |  |
|---|---|--|--|--|--|
| <ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul> | "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family |  |  |  |  |
| Date of the actual completion of the international search  31 August 2000   | Date of mailing of the international search report $07/09/2000$   |  |  |  |  |
| Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016   | Authorized officer  Muller, S   |  |  |  |  |

1

Int. ati plication No PCT/US 00/08669

|                      | Ation) DOCUMENTS CONSIDERED TO BE RELEVANT  |   |
|----------------------|---|---|
| ategory <sup>3</sup> | Citation of document, with indication, where appropriate, of the relevant passages              | Relevant to claim No.                       |
| X                    | US 5 714 163 A (COX GERRY ET AL)<br>3 February 1998 (1998-02-03)<br>cited in the application    | 1-8,<br>11-40,<br>43-62,<br>64-68,<br>70-74 |
|                      | column 4, line 44 -column 5, line 53 column 6, line 17 -column 6, line 51; example 1 claims 1-5 | 70 74                                       |
| X                    | US 4 952 408 A (RAHMAN AQUILUR)<br>28 August 1990 (1990-08-28)                                  | 1-6,<br>13-30,<br>60-62,<br>64-68,          |
|                      | column 2, line 30 -column 4, line 29 claims 1-11  | 70-77                                       |
| A                    | US 5 165 922 A (GOODMAN GARY E ET AL) 24 November 1992 (1992-11-24) column 7, line 30 - line 68 | 1-77  |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |
|                      |   |   |

Information patent family members

PCT/US 00/08669

| Dates                                 |    |                  | FC1/US UU/08669  |  |  |
|---------------------------------------|----|------------------|--|--|--|
| Patent document cited in search repor | rt | Publication date |  | Patent family member(s)  | Publication date   |
| US 5741516                            | Α  | 21-04-1998       | US<br>US<br>AU<br>CA<br>WO<br>EP<br>JP                   | 5543152 A<br>5814335 A<br>2709495 A<br>2193502 A<br>9535094 A<br>0804159 A<br>10501534 T   | 06-08-1996<br>29-09-1998<br>15-01-1996<br>28-12-1995<br>28-12-1995<br>05-11-1997<br>10-02-1998   |
| US 5595756                            | Α  | 21-01-1997       | NONE   |  |  |
| US 5714163                            | Α  | 03-02-1998       | AU<br>AU<br>CA<br>EP<br>WO<br>US                         | 684209 B<br>2998495 A<br>2191077 A<br>0734252 A<br>9600057 A<br>5888537 A  | 04-12-1997<br>19-01-1996<br>04-01-1996<br>02-10-1996<br>04-01-1996<br>30-03-1999   |
| US 4952408                            | A  | 28-08-1990       | AT<br>AU<br>CA<br>DE<br>DE<br>DK<br>EP<br>IE<br>JP<br>WO | 103172 T<br>625308 B<br>3742489 A<br>1339077 A<br>68914154 D<br>68914154 T<br>279890 A<br>0416014 A<br>64513 B<br>3504381 T<br>8911292 A | 15-04-1994<br>09-07-1992<br>12-12-1989<br>29-07-1997<br>28-04-1994<br>20-10-1994<br>23-11-1990<br>13-03-1991<br>09-08-1995<br>26-09-1991<br>30-11-1989 |
| US 5165922                            | A  | 24-11-1992       | CA<br>EP<br>HU<br>WO<br>ZA                               | 2083391 A<br>0575321 A<br>63340 A<br>9117770 A<br>9103879 A  | 23-11-1991<br>29-12-1993<br>30-08-1993<br>28-11-1991<br>25-03-1992   |

09/977604

PATENT COOPERATION TREATY

# PCT

REC'D 1 0 JUL 2001

### WIPO INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant      | s or agent's file reference   |  |  |
|----------------|---|--|--|
| 16303-7        |   | FOR FURTHER ACTION   | See Notification of Transmittal of International<br>Preliminary Examination Report (Form PCT/IPEA/416)   |
| 1              | nal application No.   | International filing date (day/mont  | th/year) Priority date (day/month/year)  |
|                | 00/08669  | 31/03/2000   | 01/04/1999   |
| A61K9/1        | nal Patent Classification (IPC) or<br>27                                    | national classification and IPC  |  |
| Applicant      |   |  | ·  |
| INEX PH        | IARMACEUTICALS COR  | P. et al.  |  |
| 1. This and is | international preliminary exa<br>s transmitted to the applican              | mination report has been prepared t according to Article 36.   | d by this International Preliminary Examining Authority  |
| 2. This I      | REPORT consists of a total  | of 8 sheets, including this cover sl   | heet.  |
|                |   | ied by ANNEXES, i.e. sheets of th<br>asis for this report and/or sheets c<br>607 of the Administrative Instruction | ne description, claims and/or drawings which have containing rectifications made before this Authority   |
|                | annexes consist of a total of   |  | site differ the forty.   |
|                |   |  |  |
|                |   |  |  |
| 3. This re     | eport contains indications re   | lating to the following items:   |  |
| 1              | ☑ Basis of the report   |  |  |
| li .           | ☐ Priority  |  |  |
| 111            | Non-establishment of  | opinion with regard to novelty, inve   | entive step and industrial applicability   |
| IV             | Lack of unity of invent   | ion  | The clop and modernal applicability  |
| V              | Reasoned statement υ citations and explanation                              | under Article 35(2) with regard to no<br>ions suporting such statement   | ovelty, inventive step or industrial applicability;  |
| VI             | ☐ Certain documents cit   | ed   |  |
| VII            | ☐ Certain defects in the i  | nternational application   |  |
| VIII           |   | n the international application  |  |
|                |   |  |  |
| Date of subm   | ission of the demand  | Date of co   | empletion of this report   |
| 0/10/2000      | )   | 06.07.200  | 11   |
| lame and ma    | ailing address of the international camining authority:                     | l Authorized   | d officer  |
| 0))            | European Patent Office<br>D-80298 Munich<br>Fel. +49 89 2399 - 0 Tx: 523656 | Pregette   | Section of the sectio |
|                | ax: +49 89 2399 - 4465  |  | No. +49 89 2399 8719   |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/08669

| l. Basis | of the | report |
|----------|--------|--------|
|----------|--------|--------|

| 1  | ar           | e receiving Office in                                | ments of the international application (Replacement sheets which have been furnished to response to an invitation under Article 14 are referred to in this report as "originally filed" o this report since they do not contain amendments (Rules 70.16 and 70.17)): |
|----|--------------|--|--|
|    | 1            | 27   | as originally filed  |
|    | CI           | aims, No.:   |  |
|    | 1-7          | 77   | as originally filed  |
|    | Dr           | awings, sheets:                                      |  |
|    | 1/2          | 2-2/2  | as originally filed  |
| 2. | Wit<br>lan   | th regard to the <b>lang</b><br>guage in which the i | uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.   |
|    | The          | ese elements were a                                  | vailable or furnished to this Authority in the following language: , which is:   |
|    |              | the language of pul                                  | ranslation furnished for the purposes of the international search (under Rule 23.1(b)). blication of the international application (under Rule 48.3(b)). ranslation furnished for the purposes of international preliminary examination (under Rule                  |
| 3. | Witl<br>inte | h regard to any <b>nucl</b><br>rnational preliminary | eotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:  |
|    |              | contained in the inte                                | ernational application in written form.  |
|    |              |  | ne international application in computer readable form.  |
|    |              |  | ently to this Authority in written form.   |
|    |              | furnished subseque                                   | ently to this Authority in computer readable form.   |
|    |              | The statement that the international app             | the subsequently furnished written sequence listing does not go beyond the disclosure in<br>Dication as filed has been furnished.  |
|    |              | The statement that listing has been furn             | the information recorded in computer readable form is identical to the written sequence nished.  |
| ŀ. | The          | amendments have r                                    | esulted in the cancellation of:  |
|    |              | the description,                                     | pages:   |
|    |              | the claims,  | Nos.:  |
|    |              |  |  |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/08669

|     |             | the drawings,   | sheets:   |
|-----|-------------|---|---|
| 5   | . 🗆         | This report has been considered to go bey                       | established as if (some of) the amendments had not been made, since they have been rond the disclosure as filed (Rule 70.2(c)):   |
|     |             | (Any replacement sh<br>report.)                                 | eet containing such amendments must be referred to under item 1 and annexed to this   |
| 6.  | Ad          | lditional observations, i                                       | necessary:  |
| 1#1 | . No        | n-establishment of o  | pinion with regard to novelty, inventive step and industrial applicability  |
|     | Th          | e questions whether th  | e claimed invention appears to be novel, to involve an inventive step (to be non-<br>ally applicable have not been examined in respect of:                              |
|     |             | the entire internationa   | ·   |
|     | ×           | claims Nos. 1-67, 75-   | 77, concerning industrial applicability.  |
| be  | cau         | se:   |   |
|     | ×           | the said international which does not requir see separate sheet | application, or the said claims Nos. 1-67, 75-77 relate to the following subject matter e an international preliminary examination ( <i>specify</i> ):                  |
|     |             | the description, claims<br>that no meaningful op                | s or drawings ( <i>indicate particular elements below</i> ) or said claims Nos. are so unclear inion could be formed ( <i>specify</i> ):                                |
|     |             | the claims, or said cla   | ims Nos. are so inadequately supported by the description that no meaningful opinion  |
|     |             | no international searc  | n report has been established for the said claims Nos   |
| 2.  | anu         | neaningful international<br>/or amino acid sequeno<br>ructions: | preliminary examination cannot be carried out due to the failure of the nucleotide ce listing to comply with the standard provided for in Annex C of the Administrative |
|     |             | the written form has no   | ot been furnished or does not comply with the standard.   |
|     |             | the computer readable   | form has not been furnished or does not comply with the standard.   |
| ٧.  | Rea<br>cita | soned statement und tions and explanation                       | er Article 35(2) with regard to novelty, inventive step or industrial applicability;<br>s supporting such statement   |
|     |             | ement   |   |
|     | Nov         | elty (N)  | Yes: Claims 31-59, 68-70  |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/08669

No: Claims 1-30, 60-67, 71-77

Inventive step (IS) Yes: Claims

No: Claims 1-77

Industrial applicability (IA) Yes: Claims 68-74

No: Claims

2. Citations and explanations see separate sheet

### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

#### Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Claims 1-67 and 75-77 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

#### Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Reference is made to the following documents:

D1: US-A-5 741 516 (WEBB MURRAY S ET AL) 21 April 1998 (1998-04-21) cited in the application

D2: US-A-5 595 756 (BALLY MARCEL B ET AL) 21 January 1997 (1997-01-21)

D3: US-A-5 714 163 (COX GERRY ET AL) 3 February 1998 (1998-02-03) cited in the application

D4: US-A-4 952 408 (RAHMAN AQUILUR) 28 August 1990 (1990-08-28)

D5: US-A-5 165 922 (GOODMAN GARY E ET AL) 24 November 1992 (1992-11-24)

- 2. The subject-matter of present claims 1, 60 and 64 is not novel according to Article 33(2) PCT.
- 2.1. Methods for the treatment of cancer in mammals, especially humans, with liposome-encapsulated vinca alkaloids are well known in the art. Vinca alkaloids are already used for all stages of the treatment of cancer. It goes without saying that an equal treatment can be, and is often, given for the original treatment and for the treatment after relapse.

It is even more obvious that a transformed cancer (as defined in present claim 60) is treated with the "normal" therapy.

2.2. Document D1 already discloses a method of treatment for cancer comprising the administration of liposome encapsulated vinca alkaloids.

Document D1 discloses liposomes comprising sphingomyelin and cholesterol or liposomes comprising DSPC and cholesterol. The liposomal interior has a pH less than that of the liposomal exterior. The treatment of mice having P388 tumours is described in examples III and IV. Example VIII discloses the treatment of A431 tumours.

Document D2 discloses a method of treatment for cancer comprising the administration of liposome encapsulated vinca alkaloids (cf. claims 9 and 10 and examples 2 and 3).

Document D3 describes the treatment of mice with implanted Lymphosarcoma tumour cells by the administration of liposome (DSPC, cholesterol) encapsulated vinca alkaloids (vincristine)(examples).

Document D4 discloses a method to treat a neoplasia in a mammal wherein 1.2-1.6mg of vinca alkaloid per m² in humans is to be administered (col.3, l.63-68). The vinca alkaloids are encapsulated in liposomes (col.2, l.58 - col.3, l.20).

- 2.3. The dependent claims 2-30, 61-63, and 65-67 do not contain any features which, in combination with the features of any claim to which they refer, might establish novelty and an inventive step over D1-D5 (Articles 33(2) and 33(3) PCT). These claims are only allowable in combination with patentable independent claims.
- 3.1. The subject-matter of present claim 31 is not inventive according to Article 33(3) PCT.

Document D4, which is considered to represent the most relevant state of the art, discloses (cf. claim 10) a method of treatment for non-Hodgkin's Lymphoma from which the subject-matter of claim 31 differs in that the presence of cardiolipin in the composition to be administered is disclaimed.

However, a disclaimer can only be used to render a non-obvious teaching novel over a accidentally novelty destroying prior art. A disclaimer cannot be used to establish an inventive step. There is no reason to believe that the absence of cardiolipin has any surprising effect.

Furthermore, the documents D2 and D3 teach the encapsulation of vincristine in liposomes free of cardiolipin (cf. 2.2.). It is general knowledge and stressed in both D2 (col.1, I.53-60) and D3 (col.1, I.36-37) that vincristine is used to treat non-Hodgkin's Lymphoma. A person skilled in the art would use the liposomes disclosed in D2 and D3 not only to treat tumours in animal models, but also for the

treatment of non-Hodgkin's Lymphoma (the main application of vincristine).

- 3.2. The dependent claims 32-59 do not contain any features which, in combination with the features of any claim to which they refer, might establish an inventive step over D1-D5 (Article 33(3) PCT). These claims are only allowable in combination with patentable independent claims.
- 4. The subject-matter of claims 68 and 70 is not inventive according to Article 33(3) PCT.

The presence of instructions is a usual occurrence in a kit for use in the treatment of a disease. Since components useful in the preparation of liposome-encapsulated vinca alkaloid (cf. item VIII 2.) and stable formulations of liposome-encapsulated vinca alkaloids are already known in the art (cf. point 2.2.), the presence of instructions cannot render the subject-matter of claims 68 and 70 inventive.

- 5.1. The subject-matter of claim 71 is not novel according to Article 33(2) PCT. Document D4 already discloses a method of treating non-Hodgkin's Lymphoma (claim 10). This method comprises the administration of a pharmaceutical composition comprising a liposome-encapsulated vinca alkaloid selected from the group comprising vincristine. Consequently, liposome-encapsulated vincristine has already been used for the manufacture of a pharmaceutical composition for the treatment of non-Hodgkin's Lymphoma.
- 5.2. The dependent claims 72-77 do not contain any features which, in combination with the features of any claim to which they refer, might establish novelty and an inventive step over D1-D5 (Articles 33(2) and 33(3) PCT). These claims are only allowable in combination with patentable independent claims.
- 6. For the assessment of the present claims 1-67 and 75-77 on the question whether they are industrially applicable, no unified criteria exist in the PCT Contracting States. The patentability can also be dependent upon the formulation of the claims. The EPO, for example, does not recognize as industrially applicable the subject-matter of claims to the use of a compound in medical treatment, but may allow, however, claims to a known compound for first use in medical treatment

and the use of such a compound for the manufacture of a medicament for a new medical treatment.

#### Re Item VIII

Certain observations on the international application

1. Although claims 1, 38, 60 and 64 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought and/or in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

Hence, claims 1, 38, 60 and 64 do not meet the requirements of Article 6 PCT.

- 2. The subject-matter of claim 68 is not clear (Article 6 PCT). The term "useful" has no precise meaning in the art. "Useful" components in the preparation of a liposome-encapsulated vinca alkaloid are for example: a vial, water, lipids, a stirrer, a puffered solution, ....
- 3. The terms "Rituxan", "Oncolym" and "Bexxar", employed in claims 26 and 58 and appearing to be registered trade marks have no precise meaning as they are not internationally accepted as standard descriptive terms, thereby rendering the definition of the subject-matter of these claims 26 and 58 unclear (Article 6 PCT).
- 4. The subject-matter of claims 75-77 is not clear (Article 6 PCT). These claims define uses and refer to claim 65. Claim 65 is a method claim, not a use claim.

#### **PCT**





#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7:
A61K 9/127, A61P 35/00, A61K 31/475

**A1** 

(11) International Publication Number:

WO 00/59473

•

(43) International Publication Date:

12 October 2000 (12.10.00)

(21) International Application Number:

PCT/US00/08669

(22) International Filing Date:

31 March 2000 (31.03.00)

(74) Agents: FOLLETTE, Peter, J. et al.; Townsend and Townsend and Crew LLP, 8th floor, Two Embarcadero Center, San Francisco, CA 94111 (US).

(30) Priority Data:

60/127,444 60/137,194 1 April 1999 (01.04.99) 2 June 1999 (02.06.99)

.99) US 99) US

(71) Applicant (for all designated States except US): INEX PHAR-MACEUTICALS CORP. [CA/CA]; 100-8900 Glenlyon Parkway, Glenlyon Business Park, Burnaby, British Columbia V5J 5J8 (CA).

(72) Inventors; and

(75) Inventors, and
(75) Inventors/Applicants (for US only): SARRIS, Andreas, H.
[US/US]; Apartment 715, 7200 Almeda Road, Houston, TX
77054 (US). CABANILLAS, Fernando [US/US]; Box 68,
2316 Shakespeare Road, Houston, TX 77030 (US). LOGAN, Patricia, M. [CA/CA]; 462 Aubrey Place, Vancouver, British Columbia V5V 2T6 (CA). BURGE, Clive, T.,
R. [CA/CA]; 917 Damelart Way, Brentwood Bay, British
Columbia V8M 1C2 (CA). GOLDIE, James, H. [CA/CA];
2558 W 7th Avenue, Vancouver, British Columbia V6K
1Y9 (CA). WEBB, Murray, S. [CA/CA]; 8467 Sunset Drive,
Delta, Bristish Columbia V4C 3Y5 (CA).

(81) Designated States: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: COMPOSITIONS AND METHODS FOR TREATING LYMPHOMA

(57) Abstract

This invention provides methods for treating neoplasias in a mammal. In particular, the invention provides methods for treating various types of lymphomas, including relapsed forms of non-Hodgkin's Lymphoma. These methods involve the administration of liposome-encapsulated vinca alkaloids, e.g., vincristine, to a mammal with a lymphoma.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| ΑL | Albania                  | ES | Spain               | LS | Lesotho               | SI | Slovenia                 |
|----|--------------------------|----|---------------------|----|-----------------------|----|--------------------------|
| AM | Armenia                  | FI | Finland             | LT | Lithuania             | SK | Slovakia                 |
| ΑT | Austria                  | FR | France              | LU | Luxembourg            | SN | Senegal                  |
| ΑU | Australia                | GA | Gabon               | LV | Latvia                | SZ | Swaziland                |
| ΑZ | Azerbaijan               | GB | United Kingdom      | MC | Monaco                | TD | Chad                     |
| BA | Bosnia and Herzegovina   | GE | Georgia             | MD | Republic of Moldova   | TG | Togo                     |
| BB | Barbados                 | GH | Ghana               | MG | Madagascar            | TJ | Tajikistan               |
| BE | Belgium                  | GN | Guinea              | MK | The former Yugoslav   | TM | Turkmenistan             |
| BF | Burkina Faso             | GR | Greece              |    | Republic of Macedonia | TR | Turkey                   |
| BG | Bulgaria                 | HU | Hungary             | ML | Mali                  | TT | Trinidad and Tobago      |
| ВJ | Benin                    | IE | Ireland             | MN | Mongolia              | UA | Ukraine                  |
| BR | Brazil                   | ΙL | Israel              | MR | Mauritania            | UG | Uganda                   |
| BY | Belarus                  | IS | Iceland             | MW | Malawi                | US | United States of America |
| CA | Canada                   | IT | Italy               | MX | Mexico                | UZ | Uzbekistan               |
| CF | Central African Republic | JP | Japan               | NE | Niger                 | VN | Viet Nam                 |
| CG | Congo                    | KE | Kenya               | NL | Netherlands           | YU | Yugoslavia               |
| CH | Switzerland              | KG | Kyrgyzstan          | NO | Norway                | zw | Zimbabwe                 |
| CI | Côte d'Ivoire            | KP | Democratic People's | NZ | New Zealand           |    |                          |
| CM | Cameroon                 |    | Republic of Korea   | PL | Poland                |    |                          |
| CN | China                    | KR | Republic of Korea   | PT | Portugal              |    |                          |
| CU | Cuba                     | KZ | Kazakstan           | RO | Romania               |    |                          |
| CZ | Czech Republic           | LC | Saint Lucia         | RU | Russian Federation    |    |                          |
| DE | Germany                  | LI | Liechtenstein       | SD | Sudan                 |    |                          |
| DK | Denmark                  | LK | Sri Lanka           | SE | Sweden                |    |                          |
| EE | Estonia                  | LR | Liberia             | SG | Singapore             |    |                          |
|    |                          |    |                     |    |                       |    |                          |
|    |                          |    |                     |    |                       |    |                          |



(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference  | FOR FURTHER See Not   | ification of Transr                      | nittal of Int | ernational Search Report                        |
|--|---|--|---------------|---|
| 16303-76-2PC   | ACTION (Form P  | PCT/ISA/220) as w                        | rell as, whe  | ere applicable, item 5 below.                   |
| International application No.  | International filing date (day/month/   | year) (Earli                             | est) Priorit  | ty Date (day/month/year)                        |
| PCT/US 00/08669  | 31/03/2000  |  | 0             | )1/04/1999                                      |
| Applicant  |   |  |               |   |
| THEY DUADMACEUTICALS CODD  |   |  |               |   |
| INEX PHARMACEUTICALS CORP.   | . et al.  |  |               |   |
| This International Search Report has been according to Article 18. A copy is being tra           | n prepared by this International Searc<br>unsmitted to the International Bureau.                                  | hing Authority and                       | d is transm   | uitted to the applicant                         |
| This International Search Papart consists  | 4   |  |               |   |
| This International Search Report consists of X It is also accompanied by a                       | of a total of shee a copy of each prior art document cite   |  |               |   |
| Basis of the report  |   |  |               |   |
|  | ess otherwise indicated under this iten   | m.                                       |               |   |
| Authority (Hule 23.1(b)).  | as carried out on the basis of a transla  |  |               |   |
| <ul> <li>b. With regard to any nucleotide and<br/>was carried out on the basis of the</li> </ul> | sequence listing :  | in the internation:                      | al applicati  | ion, the international search                   |
| contained in the internation   | nal application in written form.  |  |               |   |
|  | national application in computer read   | able form.                               |               |   |
|  | this Authority in written form.   |  |               |   |
|  | this Authority in computer readble for  |  |               |   |
| international application as   |   |  |               |   |
| the statement that the information furnished   | rmation recorded in computer readable   | e form is identical                      | to the writ   | ten sequence listing has been                   |
|  | d unsearchable (See Box I).   |  |               |   |
| 3. Unity of invention is lacki   | ng (see Box II).  |  |               |   |
| 4. With regard to the <b>title</b> ,   |   |  |               |   |
| the text is approved as subr   | mitted by the applicant.  |  |               |   |
|  | ed by this Authority to read as follows:  | :  |               |   |
|  |   |  |               |   |
|  |   |  |               |   |
| 5. With regard to the abstract,  | <del>.</del>  |  |               |   |
| the text is approved as subnumber the text has been establishe within one month from the d       | mitted by the applicant.<br>ed, according to Rule 38.2(b), by this a<br>date of mailing of this international sea | Authority as it apr<br>arch report, subm | ears in Bo    | x III. The applicant may, ts to this Authority. |
| 6. The figure of the <b>drawings</b> to be publish   | hed with the abstract is Figure No.   |  | <del></del>   | •   |
| as suggested by the applica  |   |  | X             | None of the figures.                            |
| because the applicant failed   |   |  |               |   |
| because this figure better ch  | aracterizes the invention.  |  |               |   |

International Application No PCS 00/08669

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K9/127 A61P35/00

A61K31/475

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{A61K} \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages                          | D.L                                |
|------------|---|------------------------------------|
|            | The appropriate, of the relevant passages   | Relevant to claim No.              |
| X          | US 5 741 516 A (WEBB MURRAY S ET AL) 21 April 1998 (1998-04-21) cited in the application the whole document | 1-68,<br>70-77                     |
| X          | US 5 595 756 A (BALLY MARCEL B ET AL)<br>21 January 1997 (1997-01-21)                                       | 1-8,<br>11-40,<br>43-62,<br>64-68, |
|            | column 3, line 55 -column 5, line 40 column 7, line 40 -column 8, line 22; example 1 claims 1-10            | 70-74                              |
|            | -/  |                                    |

| X Further documents are listed in the continuation of box C.  | Patent family members are listed in annex.  |
|---|---|
| Special categories of cited documents :   |   |
| <ul> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filling date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filling date but later than the priority date claimed</li> </ul> | <ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul> |
| Date of the actual completion of the international search   | Date of mailing of the international search report  |
| 31 August 2000  | 07/09/2000  |
| Name and mailing address of the ISA   | Authorized officer  |
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016   | Muller, S   |

International Application No PUS 00/08669

| C.(Continu | nation) DOCUMENTS CONSIDERED TO BE RELEVANT   | DEFINIO RE RELEVANT |                                    |  |
|------------|---|---------------------|------------------------------------|--|
| Category ° |   |                     | Relevant to claim No.              |  |
|            |   |                     | nelevani io ciaim No.              |  |
| X          | US 5 714 163 A (COX GERRY ET AL) 3 February 1998 (1998-02-03) cited in the application          |                     | 1-8,<br>11-40,<br>43-62,<br>64-68, |  |
|            | column 4, line 44 -column 5, line 53 column 6, line 17 -column 6, line 51; example 1 claims 1-5 |                     | 70-74                              |  |
|            | US 4 952 408 A (RAHMAN AQUILUR)<br>28 August 1990 (1990-08-28)                                  |                     | 1-6,<br>13-30,<br>60-62,<br>64-68, |  |
|            | column 2, line 30 -column 4, line 29<br>claims 1-11   |                     | 70-77                              |  |
|            | US 5 165 922 A (GOODMAN GARY E ET AL) 24 November 1992 (1992-11-24) column 7, line 30 - line 68 |                     | 1-77                               |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |
|            |   |                     |                                    |  |

information on patent family members

International Application No

|                                      |    | /                |        |                         | h2 00/0800 <del>3</del> |
|--------------------------------------|----|------------------|--------|-------------------------|-------------------------|
| Patent document cited in search repo | rt | Publication date |        | Patent family member(s) | Publication date        |
| US 5741516                           | Α  | 21-04-1998       | US     | 5543152 A               | 06-08-1996              |
|                                      |    |                  | ÜS     | 5814335 A               | 29-09-1998              |
|                                      |    |                  | AU     | 2709495 A               | 15-01-1996              |
|                                      |    |                  | CA     | 2193502 A               | 28-12-1995              |
|                                      |    |                  | WO     | 9535094 A               | 28-12-1995              |
|                                      |    |                  | EP     | 0804159 A               | 05-11-1997              |
|                                      |    |                  | JP     | 10501534 T              | 10-02-1998              |
| US 5595756                           | A  | 21-01-1997       | NONE   |                         |                         |
| US 5714163                           | Α  | 03-02-1998       | AU     | 684209 B                | <br>04-12-1997          |
|                                      |    |                  | AU     | 2998495 A               | 19-01-1996              |
|                                      |    |                  | CA     | 2191077 A               | 04-01-1996              |
|                                      |    |                  | EP     | 0734252 A               | 02-10-1996              |
|                                      |    |                  | WO     | 9600057 A               | 04-01-1996              |
|                                      |    |                  | US     | 5888537 A               | 30-03-1999              |
| US 4952408                           | Α  | 28-08-1990       | <br>AT | 103172 T                | 15-04-1994              |
|                                      |    |                  | AU     | 625308 B                | 09-07-1992              |
|                                      |    |                  | AU     | 3742489 A               | 12-12-1989              |
|                                      |    |                  | CA     | 1339077 A               | 29-07-1997              |
|                                      |    |                  | DE     | 68914154 D              | 28-04-1994              |
|                                      |    |                  | DE     | 68914154 T              | 20-10-1994              |
|                                      |    |                  | DK     | 279890 A                | 23-11-1990              |
|                                      |    |                  | EΡ     | 0416014 A               | 13-03-1991              |
|                                      |    |                  | ΙE     | 64513 B                 | 09-08-1995              |
|                                      |    |                  | JP     | 3504381 T               | 26-09-1991              |
|                                      |    |                  | WO     | 8911292 A               | 30-11-1989              |
| US 5165922                           | Α  | 24-11-1992       | CA     | 2083391 A               | 23-11-1991              |
|                                      |    |                  | EP     | 0575321 A               | 29-12-1993              |
|                                      |    |                  | HU     | 63340 A                 | 30-08-1993              |
|                                      |    |                  | MO     | 9117770 A               | 28-11-1991              |
|                                      |    |                  | ZA     | 9103879 A               | 25-03-1992              |